1 Seed exchange networks as important processes for maize diversity

2 conservation and seed access in a highland region of Mexico

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10 Abstract

Seed exchanges that smallholder households perform form networks that are central to in situ 11 agrobiodiversity conservation. Sociodemographic factors such as market accessibility and 12 household assets could be shaping the structure of these networks and impacting diversity, 13 however, formal evidence is scarce. Through surveys in nine rural communities of the Cofre de 14 15 Perote highland region in Mexico, we modeled seed exchange networks for native maize and 16 conducted a social network analysis followed by statistical modeling. Results show that access to urban centers is negatively related to the robustness of community networks. Within communities, 17 18 households with bigger plots, more time producing, sufficient maize for self-consumption, and fewer members, were able to save seeds for the next cropping season and were more likely to 19 donate seeds. Additionally, households that exchanged more, produced more maize morphotypes. 20 21 We conclude that the maize seed networks under study are serving as seed reservoirs for families in case of scarcity, thus contributing to food security. They are also important for in situ 22 agrobiodiversity conservation of six maize morphotypes. However, it is necessary to promote seed 23 exchanges between households of communities with more access to urban centers, to strengthen 24 networks, and preserve their maize diversity and seed scarcity-dampening function. 25

Keywords Seed exchange networks; Agrobiodiversity conservation; Seed access; Highland
 native maize

28 Introduction

29 Smallholder households represent around 85% of production units worldwide (Lowder et al., 2016), enabling continuous crop evolution and domestication, as well as food supply for rural and 30 urban populations (Bellon et al., 2018, 2021). Smallholders that produce annual crops commonly 31 select the best grains from their harvest and save these as seeds for the next cropping season, 32 however, it is frequent that they exchange, donate or borrow seeds among households, thus 33 building up local Seed Exchange Networks (SEN) (Coomes et al., 2015; Pautasso et al., 2012). 34 SEN are believed to be linked to agrobiodiversity conservation (Pautasso et al., 2012), but 35 changing economic and sociodemographic conditions around the world, are driving rural 36 37 communities to increasingly integrate into urban centers and their markets, weakening food production for self-consumption (Eakin et al., 2018; Rivera-Núñez et al., 2022). In Mexico, for 38 example, many smallholder households that cultivate maize mostly for self-consumption (Bellon 39

et al., 2021), are struggling to meet grain self-sufficiency for the entire cropping season (Novotny
et al., 2021; Rivera-Núñez et al., 2022). These circumstances could be having an impact on the
probability of households saving, giving, or receiving seeds from other households, thus shaping
the structure of SEN.

Mexico, considered the center of origin of maize (Piperno, 2018), has been domesticating 44 this plant in *milpas* (maize-based traditional polyculture) for approximately 9,000 years (Piperno 45 et al., 2009). *Milpas* are a central part of the life and nutrition of thousands of peasant households 46 (Novotny et al., 2021) and maize is the most important food crop for the rural and urban 47 48 populations in Mexico (Fernández et al., 2013). Around 88.6% of maize producers are smallholders with plots smaller than 5 hectares that hold the potential of feeding 54.7 million 49 people in Mexico with their work (Bellon et al., 2018). In this small-holder context of Mexico, 50 51 SEN are important for *in situ* maize conservation (Badstue et al., 2007; Bellon et al., 2011; Gómez et al., 2004; Llamas-Guzmán et al., 2022; Louette et al., 1997; Sotelo, 2017) as they are an 52 important source of seeds when extreme climatic events take place and crops are lost (Fenzi et al., 53 2022). Maize SEN vary across regions of Mexico, as the ways people manage their seeds reflect 54 the country's vast biocultural diversity (Bellon et al., 2011). However, some common patterns 55 56 have emerged across communities where SEN have been studied. In these communities, most seeds are obtained from self-supply, as just around one third of the seeds are obtained from outside 57 58 sources (Badstue et al., 2007; Louette et al., 1997). Moreover, commercial seeds are rarely used, especially in highland regions (Brush & Perales, 2007). The main reported reasons for obtaining 59 seeds outside the household are experimentation and seed scarcity; in contrast, the most frequent 60 reason to give the seed are the social responsibility that households have with another member of 61 the community (Badstue et al., 2007; Louette et al., 1997). When there is a seed transaction 62 between households, seeds are usually exchanged through pre-existing social relations, for 63 example, in Oaxaca, households exchange with their family, acquaintances, friend or neighbors 64 (Badstue et al., 2007). Patrilineal inheritance is frequent; in Chiapas, for example, when a new 65 household is formed, seeds are given from the father to the son (Sotelo, 2017). Moreover, when 66 seeds are exchanged, they commonly come from the same community (Louette et al., 1997), as 67 the SEN are sustained by pre-existing social relations (Llamas-Guzmán et al., 2022) and maize 68 varieties are adapted to specific altitudinal conditions, especially on highlands (Mercer et al., 69 70 2008).

Smallholders of highlands predominantly produce native varieties (Perales et al., 2003). In 71 the face of climate change, highland native varieties are the most threatened because they are 72 adapted to very specific local climates and, probably, will not perform well in changing conditions 73 (Mercer & Perales, 2010). This is why, highland SEN are assumed to be very important for 74 sustaining a diverse and well adapted germplasm for future conditions (Bellon et al., 2011). SEN 75 are thought to be key for agrobiodiversity conservation (Pautasso et al., 2012) since it has been 76 reported that households that exchange more seeds produce a greater crop diversity (Calvet-Mir et 77 al., 2012; Llamas-Guzmán et al., 2022; Song et al., 2019). However, this is not the case in some 78 contexts (Abizaid et al., 2016; Kawa et al., 2013; Thomas & Caillon, 2016). Therefore, it is 79 necessary to evaluate if SEN contribute to maize diversity conservation in the highlands of the 80 center of origin of maize where evolution under domestication is ongoing. 81

Multiple factors could drive the level of dependence of a community on its local SEN, and 82 as a consequence, determine its structure. Network structure in this context is defined by the way 83 84 households of a community exchange seeds among them (frequency and identity of participants). Rural communities are increasingly accessing different types of markets and integrating their 85 livelihoods into urban pole economies (Eakin et al., 2018). The access to markets includes both 86 87 local markets, in which there is an exchange of agrobiodiversity produced in different eco-regions (Lotero-Velásquez et al., 2022), and formal seed markets, referring to the system of production 88 and distribution of commercial seeds by companies (Almekinders et al., 1994; Berlan & Lewontin, 89 1986). Formal seed markets have a greater presence in communities whose livelihoods are more 90 integrated into urban centers, having better physical and economic access to markets (Brush & 91 Perales, 2007). In these contexts, the adoption of commercial varieties could be having a negative 92 impact on landrace diversity (McLean-Rodríguez et al., 2019; Zimmerer et al., 2019), and on SEN 93 94 structures. If households join formal seed markets and stop producing and exchanging local varieties, eventually local networks would have fewer members and get fragmented. It is known 95 that the formal and local seed systems coexist (Almekinders et al., 1994), even though formal 96 markets tend to displace local agrobiodiversity (Kloppenburg, 2004). But it is unclear to what 97 extent the presence of formal markets alter the structure of SEN. Furthermore, in Mexico, the 98 growth of cities is changing smallholder's livelihoods (Lerner & Appendini, 2011), because they 99 are diversifying labor into non-farm activities due to market integration, and agriculture is ceasing 100 to be the principal activity (Eakin et al., 2018). This fact could drive further households to 101

exchange less or even stop exchanging seeds. Moreover, kinship relations are known to be more distant in communities that are closer to urban areas (Colleran, 2020) and as SEN are often supported by kinship relations (Labeyrie et al., 2016), accessibility to urban centers could be a driver of network fragmentation. However, to our knowledge, there are no quantitative studies of the extent to which access to urban poles relate to the structure of maize local SEN.

Farmer households function as production units, as they rely on their labor to make a living 107 from land, even though sometimes they engage into non-agricultural labor (Chayanov, 1966). 108 According to Boserup's classical theory (Boserup, 1965), the decrease in land access by farmer 109 110 households (driven by population growth) results in land-use change and agricultural intensification at the community level. In Latin America, there is a known decreasing trend in the 111 plot size of newly founded households (Lowder et al., 2016; Negrete-Yankelevich et al., 2013). 112 As a consequence, younger households have a smaller production (Pacheco-Cobos et al., 2015) 113 114 and find it harder to produce enough food to meet their annual grain needs (Rivera-Núñez et al., 2022). Moreover, if the number of household members exceeds production capacity, it could be 115 harder for households to save a part of the harvest for seed. If households are not able to allocate 116 part of the production for seed for the next cropping season, they are likely to acquire seeds through 117 118 SEN, requesting them from households that have larger productions (Louette et al., 1997). In other rural contexts, SEN are useful to obtain seeds in case of harvest failure (van Niekerk & Wynberg, 119 120 2017). However, the extent to which household characteristics influence their probability to exchange, and, the capacity of SEN to function for seed replenishment in case of scarcity, remains 121 underexplored. 122

Recently in Latin America, men are increasingly migrating and engaging in non-farm labor, which has led women to become in charge of agricultural tasks (Deere, 2009). Classical peasant theory postulates that households have a division of labor based on gender, in which men are engaged in productive tasks and women in domestic labor (Chayanov, 1966). Seed provisioning and exchanging is considered a productive task managed by men in some regions (Ricciardi, 2015) but currently, it is no longer clear if men or women are more frequently exchanging seeds in different contexts.

Social Network Analysis (SNA) addresses formally the study of the structure of a set of nodes and the interactions between them (Scott & Carrington, 2011) and has been a useful approach to estimating the relative influence of the multiple factors (e.g. gene and knowledge

diffusion, climate change, social relations) that shape SEN functioning (Abizaid et al., 2016; Fenzi 133 et al., 2022; Labeyrie et al., 2016; Thomas et al., 2012) and their contribution to agrobiodiversity 134 conservation (Calvet-Mir et al., 2012; Llamas-Guzmán et al., 2022). In the SEN context, 135 households constitute the nodes, and seed exchanges are the edges of the network (Labeyrie et al., 136 2021). Within SNA framework, one way to compare the vulnerability of a community SEN due to 137 fragmentation, is by measuring their robustness. Robustness refers to the capacity of a network to 138 keep connected even if each one of its nodes is removed one by one (Piraveenan et al., 2013). In 139 the case of SEN, robustness indicates the extent to which households could keep on obtaining 140 141 seeds in a scenario where certain households will no longer belong to the network. Moreover, Exponential Random Graph Models (ERGM), can be used to estimate the explanatory potential of 142 household characteristics on the probability that there are exchange links between them (Lusher et 143 144 al., 2013).

145 The highlands of the Cofre de Perote region in southeast Mexico, represent a useful case study to evaluate the factors that shape local SEN because, as in other tropical high-elevation 146 regions, SEN are the main source of seeds outside household units (Bellon et al., 2011) as 147 commercial varieties are only moderately present (Khoury et al., 2022; Perales et al., 2003). In this 148 149 context in which smallholders depend on their seeds and SEN for their provisioning, we use SNA and ERGM to formally explore the sociodemographic characteristics that structure the networks 150 151 and to identify the role of SEN in maize agrobiodiversity conservation. We hypothesize that (1) at the community level, SEN are less robust as communities have more access to urban centers, and 152 (2) at the household level, men and women exchange with the same frequency and that, households 153 that have bigger plots, more time producing maize, sufficient maize to feed the family, and fewer 154 members, give seeds more often. Moreover, (3) households that give and receive more seeds are 155 the ones that produce more maize diversity on their plots. 156

157 Method

158 *Study site*

The study was conducted in nine communities of the Cofre de Perote mountain, in east central Mexico, specifically at Acajete and Xico municipalities in Veracruz state. The study site is a mountainous highland region, and the studied communities range from 1739 to 2566 masl (INEGI, 2020; Table 1). The selected communities represent a gradient of accessibility (measured as self-

reported transportation time) to urban areas, the cities of Coatepec, Xalapa, and Xico (Fig. 1). 163 Direct distance was not used as an indicator of access because the quality of roads and the available 164 transportation means (public bus, motorcycle or walking) vary considerably between communities. 165 The most accessible community is located 25 minutes away from the nearest urban pole, while the 166 least is 150 minutes away. The communities that have more access to urban centers are also the 167 largest, Xico Viejo, the most accessible community, has 138 households, and Buena Vista, the 168 farthest, is comprised of 14 households (INEGI, 2020). The formal seed market where households 169 buy seeds is composed of agribusiness stores in urban centers where they can also buy other 170 171 supplies, such as fertilizers and pesticides.

In these communities, smallholder families produce *milpas* destined most often exclusively for their subsistence. According to our data, just 4.3% of households sell a small part of their production. Even though their grain supply depends on the *milpa*, it is frequent that the resulting harvest is not enough to meet all year's feeding needs, so they have to buy maize grain in the market (Negrete-Yankelevich et al., 2018).

177 Surveys

We conducted surveys under a snowball sampling method (Lusher et al., 2013), which consisted 178 of two waves. In both waves, before starting the survey, we informed respondents what the study 179 180 implied by reading aloud a pre-established explanation and asked people for their participation consent. We used protection equipment against COVID-19 and offered masks and hand sanitizer 181 to participants. The first wave was carried out during meetings between December 2020 and April 182 2021 and 165 surveys were conducted in the nine study communities. The meetings were 183 organized in local community halls to invite farmers to participate in a larger project oriented to 184 185 promote sustainable food security in rural areas. Each household of the first wave was asked to name every household with whom they had exchanged maize seeds during all the time they had 186 been producing *milpa*. Afterwards, every household that was mentioned as a seed exchanger and 187 that belonged to the study communities was surveyed. This was repeated until no new names 188 emerged. With that, we obtained 140 new surveys in the second wave, which was carried out 189 between August 2021 and April 2022. Taking into consideration both waves 50% of the 190 households of the studied communities were surveyed (Table 1). Of all surveys, 54.7% were 191 answered by women. Morphotypes were designated according to local names because that is how 192

households recognize them and exchange seeds. In addition to seed exchange questions, in both
waves, we asked households: (1) which maize morphotypes they cultivated, (2) how long they had
been producing maize, (3) the size of their maize plot, (4) how many members the household had,
(5) if the maize harvest of the last cropping season was sufficient to feed the family for the entire
year, (6) if they had ever bought commercial maize seeds, and (7) the market in which they bought
them. In addition, we went to the agribusiness stores (that interviewees mentioned as their
suppliers) and asked which maize hybrid varieties they sold.

200 Data analysis

SEN were constructed under the SNA framework and were conceptualized at two scales, the regional level, in which all households of every community were considered, and the community level, in which only households of a particular community and the households of other communities that exchanged with them were considered.

During interviews, there were 114 reported exchanges with households that are not part of 205 the studied communities, so we constructed open networks, in which all mentioned households 206 were considered, and closed networks, in which exclusively households of the studied 207 communities were included. In the surveys, 25 families mentioned they had exchanged but did not 208 remember with whom they did so, therefore we excluded 38 exchanges of this kind. We measured 209 210 in-degree and out-degree centrality, which represent the number of times that a household had received and given seeds (through-out its productive life) respectively, and total centrality, as the 211 sum of both. Density (De) was measured as the ratio between the number of present exchanges 212 (PE) and the number of possible exchanges (PoE) given the number of households on the network 213 (De=PE/PoE) (Kolaczyk y Csárdi, 2014). Descriptive statistics and network visualization were 214 performed in statnet (Handcock et al., 2016) and ggraph (Pedersen, 2021) packages on RStudio 215 1.4.1103 and Cytoscape 3.9.0 software (Shannon et al., 2003). 216

217 *Community-scale*

To estimate if the probability of buying seeds from the formal seed markets increases with the accessibility to urban centers, we adjusted a generalized linear mixed model with a binomial distribution, using maximum likelihood by the Laplace fitting method. The accessibility of the community to urban centers was used as the fixed explanatory variable. The random variable was

the community to which households belonged. The response variable was the presence or absenceof seed acquisition in the formal market by households.

Additionally, we conducted a robustness analysis of the open networks of each of the nine communities, which indicates the capability of the households to continue obtaining seeds from others even if other households progressively got out of the network. The robustness coefficient is a percentage that expresses the area under the curve of the number of households in the largest group of nodes that keep connected after households are progressively removed from the network, one by one (González González et al., 2021; Kasthurirathna et al., 2013). Robustness was measured as:

231 Ec. (1)
$$R = \frac{A_O}{A_c} = \frac{200 \sum_{k=0}^{R} T_k - 100T_0}{R^2}$$

where A_0 is the area under the curve of the observed network and A_c is the area under the curve 232 of a complete network in which every node is connected to all nodes. T_k is the size of the largest 233 component after k nodes have been removed. T_0 is the size of the largest component of the observed 234 network before any node has been removed and R is the network size (number of nodes; (for more 235 details see Piraveenan et al., 2013). A network of any size in which all nodes are connected will 236 have 100% robustness (Kasthurirathna et al., 2013). Node removal was done in two ways, 237 randomly, and by degree. For the random node removal, 200 randomly ordered lists of the nodes 238 were generated. In the case of by degree removal, the nodes that had the greatest degree centrality 239 were removed first, allowing us to explore if SEN robustness depended on the key households that 240 241 exchanged more. Robustness computation was carried out using NetworkX 2.5 package (Hagberg et al., 2008) on Python 3.9.6, following González-González et al. (2021). As the robustness 242 coefficient is a percentage, a beta regression was used to model if the accessibility of the 243 community to urban centers influences SEN robustness (Cribari-Neto & Zeileis, 2010; Douma & 244 Weedon, 2019), using the betareg package (Cribari-Neto & Zeileis, 2010). 245

246 Household scale

To test if the probability of exchanging seeds depends on household characteristics, an ERGM of social selection was used. This model estimates if the characteristics of the nodes affect tie formation (Lusher et al., 2013). As we did not interview the households outside our study site, and

we do not know their characteristics, we used the regional closed network to adjust the model (Labeyrie et al., 2016; Thomas & Caillon, 2016). ERGM has the form:

252 Ec. (2)
$$P(X = x) = \frac{1}{k} exp(\theta z(x) + \theta_a z_a(x, y))$$

Where X is the population of all possible networks and x denotes the observed network. The statistic z(x) is a count of the number of times that a certain configuration appears on the graph x, θ is the estimated parameter to evaluate the probability of its occurrence (configurations 1 and 2 of Fig. 2), and k is a normalizing constant. The parameter θ_a is estimated through z_a statistic to estimate the interaction between network configurations (x) and household characteristics (y) (configurations 2 and 3 of Fig. 2) (Lusher et al., 2013).

The following is a description of the eight parameters estimated from the ERGM. Two 259 parameters of the form $\theta z(x)$ were estimated, the first one measures the probability of a seed 260 exchange to occur, independently of the household's particular characteristics (configuration 1, 261 Fig. 2), and the second one the probability of exchanges to be reciprocal (configuration 2, Fig. 2). 262 Six parameters of the form $\theta_a z_a(x, y)$ were estimated. Configuration 3 of Fig. 2 was used to 263 264 estimate the probability that two households of the same community exchange between them and the probability that there are exchanges between household members of the same gender. The other 265 four parameters correspond to configuration 4 of Fig. 2., these parameters show the probability 266 that a household gives seeds to others given the value for each of the four characteristics the 267 household has. The characteristics that we considered were 1) plot size, 2) the number of years of 268 269 maize production, 3) self-reported maize sufficiency, and 4) the number of household members. Model parameters were estimated using Markov Chain Monte Carlo (MCMC) method and the 270 convergence of the model was verified through multiple runs (Krivitsky et al., 2021). The goodness 271 272 of fit of the model was tested to corroborate the reliability of the parameters, following the method of Koskinen & Snijders (2013). The package statnet was used to adjust the model (Handcock et 273 al., 2016). 274

It is expected that households produce more maize morphotypes if they exchange seeds with many households. To test this, we used a generalized linear model with a Poisson error distribution, and, the maximum likelihood by the Laplace method. The degree centrality of each household was used as the explanatory variable (number of links in the network per household) and, the number of grown morphotypes was the response variable. To measure the degree

centrality, the closed regional network was used. Statistical model simplification was performed
using Akaike's Information Criteria (AIC). Only models with a minimum decrease of two AIC

units with respect to the null model were considered plausible (Burnham & Anderson, 2002).

283 **Results**

284 Maize morphotypes and seed management

We found that households produced nine native morphotypes (Table 1) of the *Cónico* maize race (as previously reported by Leyva-Madrigal et al., 2020) and two hybrid varieties (elotero A-7573 and H-318 Bajío), which are designed to produce *elotes* (corn-on-the-cob), and are both called *elotero* by farmers. Only six out of the nine native morphotypes were reported to be exchanged (*blanco, blanco angosto, negro, amarillo, pinto,* and *rojo*).

290 *General characteristics of seed exchange networks*

Of the 165 surveyed households on the first wave of interviews, 22 had not exchanged seeds, so 291 they were not part of the network. Considering both waves, a total of 283 households constituted 292 293 the closed regional network. The regional open network, in which all exchanging households of every community were included, was composed of 380 nodes and 726 exchanges. Of these, 316 294 295 households had received and 277 had given seeds. In total, there were exchanges between households belonging to 38 communities in seven municipalities (Fig. 3). The network was 296 composed principally of *blanco* morphotype exchanges (52.7%), even though *amarillo* (20.2%) 297 and negro (18.2%) were commonly exchanged too. Pinto, rojo, and blanco angosto were the least 298 exchanged morphotypes (7.6%, 1.0%, 0.3%). Furthermore, almost all exchanges were made within 299 300 the community, and only 23.69% occurred between households of different communities. There were some households in every community that exchanged notably more than others (Fig. 4). 301 Exchanges took place mainly between households with some pre-existing relation, 64.9% were 302 family members, 17.8% acquaintances, and 15.4% friends. Only 1.8% of the exchanges were 303 carried out among households that did not report a pre-existing relationship. Households tended to 304 provide and receive seeds free of charge, through gifts (49.5%) or right-away reciprocated 305 exchanges (47.1%), and only 3.4% of seed transactions were through monetary trade. The main 306 reason to exchange was the lack of seeds (63.8%), but it was also common that households 307 exchanged to test another morphotype (20.3%) or because they wanted to have bigger ears (19.8%) 308 and/or healthier plants without plagues (8.6%). In all community networks, the average degree is 309

between two and three exchanges per household, but densities differ, the least dense networks
corresponded to communities with greater access to urban centers. In addition, these networks
included a smaller proportion of the existing households (Table 2).

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314

Seed exchange network structure in relation to the accessibility of communities to urban centers

The accessibility of communities to urban centers were related to a significant increase in the probability of households purchasing hybrid seeds ($X^2_{(302, 299)}=5.33$, p=0.02; Fig. 5). A total of 48 households bought commercial seeds, but most of them did it just once (64.6%) or twice (12.5%), and only a few households had bought seeds three times (6.2%). A minority of households bought seeds every year (16.6%), and they were all from communities that where between 25 and 30 minutes away from urban centers.

We found that, in general, networks were more robust to random removal of households 321 than to degree removal (Fig. 6) due to the centralization of networks (Fig. 4). However, the 322 difference between random and degree robustness were relatively small. The robustness of 323 networks is due to network density, in other words, no matter the size of the network or the number 324 of households in the community, the number of exchanges per household is between two and three 325 (Table 2), so in smaller communities, the network is denser. The accessibility to urban centers 326 affected network robustness, both for random and degree removal (Fig. 6). This pattern could be 327 related to the fact that communities with greater access are growing but their seed networks are 328 329 not, so it would be worth it to know if households that are not exchanging keep on sowing native maize. 330

331 The position of households in the network explained by their characteristics

According to ERGM, the probability of any two households of the regional network exchanging 332 between them is very low (<0.001; Table 3). This is caused by the null connection between the 333 municipal networks of Xico and Acajete (Fig. 4), and by the fact that households exchange more 334 probably with other households of their own community with whom they have some pre-existing 335 relationship. Reciprocal exchanges between households of the same community have 17.6% of 336 occurrence. Exchanges become 8.08% more likely if they occur within gender, for which male 337 exchanges were more frequent. The probability of a seed transactions to occur between household 338 339 members of the same gender and community, and were the household that provides the seeds is

the one that has maize sufficiency for the year, more years of production, a bigger plot, and fewerhousehold members, is 18.69% (Table 3).

342 Agrobiodiversity conservation through seed exchange networks

The number of produced morphotypes by the households increased with the number of exchangesmade by the household (Fig. 7). Therefore, households with a greater degree centrality were more

345 likely to produce a higher number of morphotypes on their plot.

346 **Discussion**

347 The robustness of the networks and their relation to accessibility to urban centers

This study evaluated, under a quantitative approach, the factors that shape the structure of maize 348 SEN in a highland region. At the community level, SEN structure was thought to be influenced by 349 the accessibility of rural communities to urban centers, an aspect that had not been tested 350 quantitatively. As hypothesized in this study, SEN become less robust as communities' 351 accessibility to urban centers increases. While networks of the three communities with the least 352 353 access to cities were composed of almost all existing households, networks of communities with more access to urban centers consisted of around half of the existing households. In the latter 354 communities, it could be that, with time, some households have had left the network, and/or that 355 newer households might have never joined it. In this region, SEN are not extremely centralized, 356 therefore when the households that exchange more frequently are removed first, the decrease in 357 358 robustness is similar to that observed when households are removed randomly. Consequently, network robustness depends on the number of exchanges in the community, not necessarily the 359 distribution of those exchanges. 360

Both, access to markets and influence of urban centers on rural livelihoods, could be 361 causing the observed decrease in network robustness in relation to accessibility to urban centers. 362 In this highland region, as in others, the use of commercial maize varieties is moderate (Brush & 363 Perales, 2007; Dyer & López-Feldman, 2013), presumably because high genetic variability is 364 needed to meet all environmental conditions, and commercial varieties sold in the available 365 markets do not meet this requirement (Perales et al., 2003). Also, available commercial seeds are 366 not attractive for rural families because they do not adjust to households production purposes. 367 Hybrid seeds sold in the region are efficient for *elote* production, destined mainly to snack sales in 368

urban centers. Rural households produce maize to obtain mature grain as staple food (mainly for 369 self-consumption as tortillas and tamales). For these reasons, even though households have bought 370 commercial seeds, most of them did it just once, and it was mentioned that when they realized that 371 372 commercial seeds did not show good productivity results, they stopped buying them. Nevertheless, in the communities with more accessibility to cities, households have more access to *elote* selling 373 markets, so it is more feasible to buy this type of seed and make a profit from its production. In 374 this sense, the accessibility to cities is related to the transition to market-oriented production, and 375 the replacement of native for hybrid varieties could be related to this transition, as has been shown 376 377 previously (McLean-Rodríguez et al., 2019). So, although formal and local seed systems coexist, as previously reported (Almekinders et al., 1994), the fact that there are fewer households on 378 networks of communities with more access to urban centers could be related to the adoption of 379 380 commercial varieties for selling purposes. Considering these results, access to selling markets 381 could have a greater influence on the robustness of networks in midlands and lowlands, where commercial seeds are more common (Brush & Perales, 2007; Reyes-García et al., 2013). 382 Furthermore, in this region, the dissemination of hybrid or native varieties through NGO and 383 government agencies is unusual, in contrast to other regions in which the dissemination of 384 385 commercial varieties through them has been an effective strategy for the adoption of this kind of seeds, especially in communities that are more integrated into selling markets (Leyte et al., 2022). 386

387 Kinship relations become more distant in rural communities that are not integrated into markets (Colleran, 2020), and, as in previous studies (Labeyrie et al., 2016), we found that SEN 388 are mostly based on them, therefore, the decrease in kinship relations could be one of the reasons 389 for the decrease in robustness in the networks of communities with more access to urban centers. 390 Furthermore, in Mexico, smallholders are not necessarily abandoning subsistence maize because 391 of market integration (Eakin et al., 2018), but engagement in non-farm activities in urban centers 392 leads to a decrement in nutritional self-sufficiency (Novotny et al., 2021). Consequently, if fewer 393 households in communities near urban centers produce subsistence maize as their main activity, 394 and seed exchange practices become less frequent, SEN get fragmented, losing their robustness. 395 Therefore, the decrease in kinship relations and increase in engagement in off-farm labor are both 396 likely correlated with accessibility to urban centers and could be having a simultaneous impact on 397 network robustness. It would be important for further research to explore formally the interactive 398 399 role of these factors.

400

Household characteristics and their position on the network

401 In Latin America, newly formed households tend to have smaller plots (Lowder et al., 2016), thus, it is common that the harvest is not enough to meet the cropping season feeding necessities and 402 seed supply for the following planting season (Rivera-Núñez et al., 2022). In this context, SEN 403 404 could be fundamental to get seeds in case of scarcity. Moreover, male migration may be promoting women to be increasingly involved in seed exchanges. It is unclear to what extent household 405 sociodemographic characteristics could be shaping maize SEN. Here it was hypothesized that men 406 407 and women would exchange seeds with the same frequency and that households that had bigger plots, maize self-sufficiency, more time producing maize, and fewer members, would give seeds 408 409 more often. We found that in all communities, a greater proportion of exchanges were made by men and that there was a tendency for exchanges to be made between household members of the 410 same gender. Contrary to our hypothesis, men keep on exchanging more frequently than women, 411 even in the face of male migration (Deere, 2009). At least until this study was made, SEN in this 412 region followed the classical peasant theory in which men are mostly in charge of productive tasks, 413 although women participate as well to some extent (Chayanov, 1966). Furthermore, we found that 414 households with the hypothesized characteristics are the ones that are more likely to give seeds to 415 others. These characteristics point to having a surplus as the main precondition for households 416 417 supplying seeds. The main reason for exchanging was the lack of seeds, thus, in this region, as in others (van Niekerk & Wynberg, 2017), SEN work as seed banks that enable households to keep 418 on sowing maize even if they did not have a good harvest the previous year. In other words, SEN 419 420 could be one of the factors that help households achieve food security over time. Through SEN, households in a vulnerable situation (having small plots, being young, having a higher number of 421 household members, and not having sufficient maize for the cropping season), can get quality 422 423 seeds adapted to the local conditions, and keep on producing maize. Current sociodemographic conditions in which newly formed households have smaller plots (Lowder et al., 2016), and find 424 it hard to meet grain self-sufficiency because of off-farm labor (Novotny et al., 2021; Rivera-425 Núñez et al., 2022) are shaping the way that maize SEN are functioning. These networks could be 426 a mechanism that helps households face vulnerability, letting them replenish seed supplies in case 427 428 of shortage. However, this mechanism depends entirely on the persistence of households with seed surpluses. 429

Nonetheless, contrary to what has been reported for SEN of the Peruvian Amazon (Abizaid 430 et al., 2016), networks in this study tended to be reciprocal. This was because we considered 431 lifetime exchanges, therefore some years households received, and others gave seeds to the same 432 households. Although it is more likely that households with sociodemographic characteristics that 433 enable them to have surpluses give seeds to others, networks are not extremely centralized on 434 particular households, indicating that most households in the SEN receive seeds at times of scarcity 435 and most households give seeds at times of surplus. This, in turn, is related to the robustness of the 436 network. Furthermore, reciprocity through time indicates that when a household gives seeds to 437 438 another that has lost that variety, the first household has the opportunity to get back seeds in the future in the case that variety gets lost (Violon et al., 2016). This reciprocity through time helps 439 achieve diversity conservation. Also, we registered that there was an additional form of reciprocity 440 441 that was excluded from our analysis, as it is not directly relevant to the conservation of local 442 germplasm. We observed that it is common for a household that asks another for seeds, to give in return grain bought on local stores that is used as food supply (to make *tortillas*), but is not suitable 443 as seed. This form of reciprocity has been reported before (Badstue et al., 2006) and could become 444 increasingly important to sustain SEN as households engage in off-farm labor and do not have 445 446 enough local seeds but have access to grain bought at local stores, but its role in sustaining SEN 447 has not been explored.

448 As previously reported (Bellon et al., 2011; Llamas-Guzmán et al., 2022), it was more likely that exchanges occurred between households from the same community. In addition to the 449 pre-existing social relations (Labeyrie et al., 2016) and the obvious practicality, the higher 450 frequency of intra-community exchanges could be related to the great diversity of environmental 451 conditions within short distances that prevail in highland regions. Households cannot get seeds 452 from a place that differs too much from the place where they cultivate, because maize is extremely 453 adapted to specific environmental conditions (Perales et al., 2003). Consequently, maintaining 454 maize genetic diversity in these conditions requires seeds to move slowly and continuously through 455 networks, for seeds to get adapted as they move through the landscape (Dyer & Taylor, 2008). 456

457

Agrobiodiversity conservation through seed exchange networks

Highland maize native varieties are the most vulnerable to future conditions under climate change(K. L. Mercer & Perales, 2010). This is why the adequate functioning of SEN and the diffusion of

diverse varieties through them is especially important in these regions (Bellon et al., 2011). In 460 other crops (Calvet-Mir et al., 2012; Llamas-Guzmán et al., 2022; Pautasso et al., 2012; Song et 461 al., 2019), SEN have been demonstrated to be important in diversity conservation. In Cofre de 462 Perote, we demonstrated that households that had a greater centrality in the networks sowed more 463 maize morphotypes. Households that exchange seeds more frequently can access and produce 464 more diversity, playing a key role as maize diversity guardians. There are some regions of the 465 world where this pattern does not occur because there, the families with more knowledge or 466 prestige are the ones that exchange more frequently (Abizaid et al., 2016; Kawa et al., 2013; 467 468 Thomas & Caillon, 2016). In Cofre de Perote, as in other regions, if farmers with a great diversity got out of the networks, there would be varieties that would be lost (Llamas-Guzmán et al., 2022) 469 and it would be harder for households that are lacking seeds to obtain them (van Niekerk & 470 Wynberg, 2017). These households are particularly important for rare morphotypes to keep on 471 existing, as they are the ones who can diffuse them. However, in all communities, there are three 472 morphotypes that households did not exchange, and only a few households produce them (eight 473 out of the 305 households plant one of those three non-exchanged morphotypes). Furthermore, it 474 is worth noticing that the number of morphotypes in the community was not necessarily related to 475 476 the accessibility to urban centers, because, in the community with most, as well as the one with least access, there are five morphotypes and, in all communities, each household produces on 477 478 average two morphotypes, which could be an indicator that in this highland region, as in others 479 (Perales et al., 2003), accessibility to urban centers is not having a negative impact on maize diversity. 480

SEN are endogenous processes to communities, enabling in situ maize diversity 481 conservation, and, based on the findings of this study, it would be necessary to strengthen networks 482 of communities that have more access to urban centers. There are some methods in which this 483 could be achieved, one of them is promoting exchanges between households even though they do 484 not have a kinship relation, by rising awareness of the importance of seed-exchanging practices. 485 Another way of strengthening SEN is by providing key information on seed diffusion and maize 486 participative improvement to the households that were identified as central (Abay et al., 2011). In 487 addition, it would be important for future research to study the underlying reason for the rarity 488 489 three morphotypes that are not being exchanged, and to evaluate the possibility of diffusing those

seeds to preserve them, as has been done before by Aw-Hassan et al. (2008) with barley seeds inSyria.

492 *Limitations*

The networks represented in this study are a model of the exchanges that households have done 493 throughout their lifetime based on self-reports. Our analysis may be an underestimation as there 494 495 might have been some exchanges that were not recalled. Moreover, networks are dynamic processes, and since we collapsed exchanges over time, there is more representativity of exchanges 496 made by older households. As a result, we cannot assure that older households give seeds with 497 more probability. Nevertheless, it is probable that the reported exchanges are mostly recent ones, 498 because those are the easiest to remember. We recommend for future studies, to ask interviewees 499 for the exchanges made on a defined lapse of time. Another limitation is that, as we defined maize 500 morphotypes based on a local classification, we could be over or underestimating maize diversity. 501 However, the formalization conducted in this study enabled us to shed light on some 502 503 sociodemographic patterns that can currently explain SEN structures.

504 Conclusion

At the community level, SEN robustness decreased with accessibility to urban centers. 505 Accessibility to selling markets and changes in livelihood strategies in communities with easier 506 507 access to urban life could be causing this pattern. Consequently, it would be necessary to concentrate efforts to strengthen networks in communities with more access to urban centers by 508 509 promoting exchanges between households that are not already connected. Within communities, households that give seeds more frequently are under sociodemographic conditions that enable 510 them to save seeds for the next cropping season. Therefore, maize SEN in this highland region are 511 512 functioning as sources of seeds in case of scarcity, thus contributing to food security. Additionally, SEN are working as seed banks to which any household can access, because networks are not 513 extremely centralized on particular households and there is a tendency of reciprocity through time. 514 In addition, seed exchange frequency is related to maize morphotype diversity, and, in this sense, 515 SEN are promoting in situ crop diversity conservation. Knowledge and diffusion of the 516 morphotypes that are rarely or never exchanged would be necessary for them to persist. Due to the 517 heterogeneity of conditions of the highlands, the diffusion of the seeds through networks becomes 518

especially important for them to keep on adapting to different conditions. This diffusion has to beslow to allow for adaptation.

SNA proved to be a powerful tool, as we were able to shed light on the factors that shape 521 SEN and their role on the persistence of rural livelihoods and native maize diversity. This formal 522 approach could be used in different contexts worldwide to promote programs and politics that 523 assure SEN persistence. It is necessary to develop informed strategies to promote crop diversity 524 conservation in the face of changing climatic conditions. The importance of SEN for the continuity 525 of crop diversity has been proven in multiple contexts. In this study, we provide a novel approach 526 527 to move in the direction of formally assessing SEN, the factors that structure them, and their effects on seed diversity conservation. 528

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Table 1. Characteristics of the households in the study communities of the Cofre de Perote.

Community	Accessibility to urban centers (min)	Number of households a	Altitude ^a	Survey s	Male exchange s (%)	Househol ds with enough maize (%)	Number of household members ^b	Plot size (ha) ^b	Number of plots ^b	Age of production (median years)	Number of morphotypes ^b	Morphotypes per community ^c
Xico Viejo	25	138	1740	44	0.7	0.28	5.5±2.7	1.1±1.1	1.1±0.5	20 - 50	2.2 ± 0.8	R; B; N; A; P
Matlalapa	30	122	2086	56	0.7	0.33	6.5±3.8	1.2±0.9	1.4±0.6	20 - 50	1.9±0.8	BA; BAN; B; N; A; P
Micoxtla	30	91	1739	42	0.5	0.57	5.1±2.3	1.3±0.8	1.3±0.5	20 - 50	2.1 ± 0.7	BA; B; N; A; P
Coatitilán	30	73	2029	37	0.5	0.37	5.3±3.4	1.3±1.6	1.3±0.5	10 - 20	1.9±0.8	BG; BAN; B; N; A; P
Pocitos	60	41	2130	25	0.7	0.54	6.2±3.1	1.1 ± 0.8	1.4 ± 0.6	20 - 50	1.9±0.9	BG; B; N; A; P
Zapotal	60	77	2441	50	0.7	0.68	4.1±1.8	1.8±1.9	1.3±0.4	20 - 50	2.3±1.1	R, BA, NA; B; N; A; P
Encinal II	90	29	2428	20	0.6	0.52	5.7±3.3	1.8±1.3	1.4 ± 0.6	20 - 50	2.5±1.0	R, BA; B; N; A; P
Saucal	90	20	2566	20	0.7	0.50	5.4±1.7	1.8±1.6	1.3±0.7	10 - 20	2.3±0.6	BG, BA; B; N; A; P
Buena Vista	150	14	2160	10	0.7	0.20	6.3±2.6	1.1±0.6	$1.4{\pm}0.5$	10 - 20	2.4±0.6	BG; B; N; A; P

^a National Institute of Statistics and Geography (INEGI, 2020)

^b(Mean±SD; n=305)

^cB: *blanco*, N: *negro*, A: *amarillo*, and P: *pinto* morphotypes that are present in all communities. BA: *Blanco angosto*; BAN: *Blanco*

756 ancho; BG: Blanco grande; R: Rojo; NA: Negro angosto

- 757 Table 2. Characteristics of community SEN. The Network size is equal to the number of
- households in the network, all exchanges are included, therefore households of other
- communities are included as a node in each community network if there is an existing tie
- 760 (exchange event). Number of households belonging to that particular community (excluding the
- 761 households of other communities connected to that community). Proportion of SEN households
- is the percentage of households in the community that participates in the SEN (Households on
- the network/Households on the community). Density is the division of present exchanges (PE)
- between the number of possible exchanges (PoE) given the number of households on the
- 765 network (De=PE/PoE).

	Network	Number of	Proportion of		
Community	size	households of	SEN households	Average degree	Density (PE/PoE)
5	(No.	the community		centrality (links	
	househo			per household)	(12/102)
	lds)				
Regional	380	283	46%	3.86	0.005
Xico Viejo	70	52	37%	3	0.021
Matlalapa	86	58	47%	2.23	0.013
Micoxtla	52	45	49%	3.23	0.031
Coatitilán	57	38	52%	2.56	0.022
Pocitos	69	52	67%	3.10	0.022
Zapotal	39	27	65%	2.71	0.035
Encinal	39	23	79%	2.30	0.030
Saucal	26	20	100%	3.38	0.067
Buena Vista	13	11	84%	2.15	0.089

- 767 Table 3. Results of Exponential Random Graph Models (ERGM). Parameter estimates are
- respective expressed in log odds with standard deviation in parentheses (** $p \le 0.01$, *** $p \le 0.001$).

Terms	Null model	Complete model
Edges	-5.203(0.04)***	-8.351 (0.27)***
Households of the same community		3.906 (0.18)***
Reciprocal ties		2.907 (0.17)***
Gender reciprocity		0.480 (0.10)***
Probability of giving seeds		
Maize sufficiency for the cropping season		0.310 (0.10)**
Years of production		0.127 (0.04)**
Size of the plot		0.116 (0.03)***
Number of household members		-0.045 (0.01)**
AIC	5004	3471

- Figure 1. The nine study communities in the Cofre de Perote region and urban areas with seed
- markets. The route from each community to the more accessible urban center is colored
- according to self-reported transportation time. The location of the formal seed market is shown
- 773 by the yellow triangles.

774



- Figure 2. Configurations used to estimate the parameters of the ERGM. Each configuration
- indicates the probability that 1) there is an exchange between any two households; 2) the
- exchanges are reciprocal; 3) an exchange occurs between two households with the same
- characteristic; 4) a household with a certain characteristic gives seeds to other households, no
- 780 matter the characteristics of the others.



- Figure 3. Regional SEN. In this mountainous region, seed exchanges are given among
- communities that are close to each other, because maize morphotypes are extremely adapted to
- 784 local conditions. Seeds are purchased in the three available markets regardless of distance.
- 785



Figure 4. SEN of native maize. Each group of households represents a community.

787



- Figure 5. The proportion of households that purchased commercial seeds in each community.
- 790 Bars are ordered according to the accessibility of the community to urban centers. In
- communities that have more access, more interviewed households had bought commercial seeds.
- 792



- Figure 6. . Robustness of the SEN. By (a) degree removal and (b) random removal. The lines
- represent the estimated beta regression model (Degree removal: $X^{2}(9,3)=16.00$, p<0.001; Random removal: $X^{2}(9,3)=11.23$, p<0.001).



- 798 Figure 7. The number of morphotypes on the plot explained by the degree centrality of the
- households. Bars represent the mean number of maize morphotypes that each household 799
- produces, given its degree centrality (\pm se). The red line represents the estimated model with the 800
- confidence interval ($X^{2}_{(288,286)}=5.99, p<0.001$). 801
- 802

